

Roger Sweaney

2929 1st Ave, #1119, Seattle, WA 98121
roger.sweaney@mac.com 414.581.1908

KEY QUALIFICATIONS

Proven of being a self-motivated with the ability to work independently or as part of a team in an effort to help other.

PROFESSIONAL EXPERIENCE

Various Opportunities

- Roger's Inspiration - Disability Insurance Speaker
- Seattle Theatre Group - Volunteer
- Swedish Medical Center - Volunteer to support cancer patients
- Seattle Mariners - Suite Concierge
- Seattle Bumbershoot - Volunteer

Seattle, WA

Sep 2014 to present
Dec 2009 to present
Nov 2010 to present
Mar 2010 to Sep 2010
Sep 2008 to Sep 2008

Cancer, Epilepsy & Stroke

Survivor

Milwaukee Seattle

Jun 05 – Current

- Diagnosis - Through advanced diagnostic imaging equipment and bio-science
- 2 Surgeries – 1 for brain tumor and 1 for epilepsy; was kept awake (see March 2005 National Geographic for a similar story) for a portion of the surgeon so neurosurgeons and I could interact to better understand surgical strategy to avoid creating a disability.
- Radiology – Spent time in advanced measuring within millimeters when Dr's are specifically targeted radiation to avoid potential radiology side-effects
- Chemotherapy – Dramatically advanced with the recent US introduction of Temodar. While proven in Europe US FDA test results hadn't been approved when I began the Temodar. 6 months into my therapy, it was announced Temodar has proven an increase in life expectancy.
- Over 2 months hospital in-patient; Over 500 classes in physical, occupational, speech therapy.

GE Healthcare

GE Healthcare is a \$11+ billion healthcare technology company
Finance Manager

Milwaukee, WI

May 03 – Jun 05

- Managing finance & accounting processes within the Americas pole that is consolidated with all GEHC poles before transmission to GE Corporate Headquarters for public reporting
- Maintain direct responsibility for managing revenue recognition issues including implementation of EITF 00-21. Requires heavy interaction with sales managers to review and approve non-standard sales transactions
- Maintain direct responsibility or Americas pole Sarbanes-Oxley (SOX) 404 compliance
- Responsible for managing many other high risk/material financial issues discovered with limited time for resolution. Requires issue identification, resolution planning and completion execution

GE Healthcare - Information Technologies (a Subsidiary of GE Healthcare)

GEHC - IT is a \$2+ billion healthcare focused information technology company
Global Business Development – Finance Manager

Seattle, WA

Dec 01 – May 03

- Provide highly technical financial and strategic analysis for global business development initiatives that at any given time may include over 25 global companies under active business development

- review ranging in deal size from \$10 million to \$2.2 billion. Worked independently and across multiple business groups developing various business level industry analyses for the evaluation of new business opportunities
- Experienced in strategic and financial review of \$100M+ contracts, equity investment opportunity's and commercial licensing and distribution opportunities. Experienced in due diligence, contract drafting, review, negotiation, post signing/closing responsibilities and financial integrations of several transactions. Managed various GEHC - IT division divestitures including a sale to a Fortune 150 Company
- Created preferred professional valuation services partnering agreement with KPMG Consulting whereby the complete redesign of GE valuation tools for business development departments to better manage the risks of IPR&D and Intangibles valuations earlier in the business development process was prepared and delivered to several other GE industrial business units globally

Data Critical (Nasdaq:DCCA) - Acquired by GE Healthcare – IT

Bothell, WA

Data Critical is a wireless and Internet (ASP) data systems technology company
Sr. Director - Finance & Business Development

Aug 99 – Dec 01

Finance Responsibilities

- Perform broad range of Sr. Financial Director functions reporting directly to the CFO
- Possess strong understanding of the IPO process from negotiation, planning and preparation and closing as evidenced by directing all pre and post financial aspects of \$40 million IPO completed November 9, 1999
- Manage all corporate accounting and financial and reporting obligations including FASB and SEC with emphasis affecting high technology entities (i.e. SoP 97-2, SAB 101, FIN 44)
- Create, administer and manage all employee and non-employee stock administration programs
- Perform various financial forecasting and budget analyses in the areas of financial business modeling, financial and operational budgeting, capital expenditures, investments, cash flow forecasting and acquisitions. Perform complex financial modeling for "what-if" financing scenarios including management of capital structure and forward-looking pro forma business models
- Manage investor relations responsibilities with stockholders, sell and buy side financial analysts, and the general investment community. Prepare and communicate various financial reports for management and company board. Maintain relationships with venture capital, investment and commercial banking institutions

Business Development Responsibilities

- Direct merger and acquisition management responsibility for four private and public technology company acquisitions and other equity investments with strong emphasis on valuation, negotiation and closing
- Key team member in the sale of Company to General Electric (effective September 2001)
- Manage financial review of various business development initiatives from negotiations through contract completion
- Manage complex business development opportunities while advising the CFO with respect to the effects of such development on financial and operational issues compliance with stated Company strategic goals
- Provide contractual strategies and recommendations to sales team members to assist in closing business
- Independently represent and negotiate the company's position on legal or business risk issues both internally and externally, including drafting a variety of contractual documents relating to various corporate development initiatives
- Manage from start to end various corporate partnering agreements including nondisclosure agreements, letters of intent, supply distribution agreements, product license agreements and research & development agreements

Intracel Corporation (Proposed Symbol Nasdaq:ICEL)

*Intracel is a fully integrated biotechnology company
Corporate Controller*

Issaquah, WA

Mar 98 – May 99

- Manage all financial reporting cycles including direct “Big 5” audit engagement management
- Redesign, implement and enforce internal controls and reporting practices to represent company’s operations
- Direct management supervision for 13 finance and accounting professionals
- Manage corporate cash receipts and disbursements in excess of \$65 million
- Direct “all” aspects of \$57 million Initial Public Offering filed July 9, 1998, withdrawn Feb 1999. Interfaced directly with bankers from CSFB and USBancorp Piper Jaffray
- Responsible for the Company’s centralization of acquired subsidiary finance department from Maryland to Washington.
- Co-managed the Company’s \$41 million debt refinancing in August of 1998
- Established European subsidiary and operations to support the first OncoVAX Center in Europe established at University Hospital, Vrije Universiteit, Amsterdam

PricewaterhouseCoopers, LLP

*PricewaterhouseCoopers is a nationally recognized “Big 5”
Accounting and Consulting Firm
Senior Business Assurance Staff Member*

Seattle, WA

Mar 97 - Mar 98

Tosco Corporation (NYSE: TOS) (acquired by Phillips Sept 2001)

*Tosco is a Fortune 150 company d.b.a. The Circle K Company, BP & Union 76.
Financial Projects Manager*

Seattle, WA

Dec 93 – Feb 97

Daniells, Phillips, Vaughn & Bock, CPA’s

Staff Accountant

Bakersfield, CA

EDUCATION

California State University, Bakersfield	1990 Bakersfield, CA	BS - Business Administration – Accounting
GE Corporate Education	2002 Fairfield, CT	Global Mergers and Acquisitions Course
GE Corporate Education	2004 Waukesha, WI	Building Essential Leadership Skills

PRESENT & PAST PROFESSION ACCOMPLISHMENT

Certified Public Accountant
Member, American Institute of Certified Public Accountants
Member, Washington State Society of CPA’s
Member, Washington Software Alliance
Member, National Investor Relations Institute
Nationally Certified PricewaterhouseCoopers, LLP Education Instructor